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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|------------------------|-----------------------|----------------------|-------------------------|------------------|
| 09/709,774 | 11/08/2000 | Alessandro Sette | 18623006240 3936 | |
| 20350 | 7590 03/19/2002 | | | |
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| TWO EMBA EIGHTH FLO | RCADERO CENTER OOR | DECLOUX, AMY M | | |
| SAN FRANC | ISCO, CA 94111-3834 | | ART UNIT | PAPER NUMBER |
| | | | 1644 | 7 |
| | | | DATE MAILED: 03/19/2002 | 1 |
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Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STEES DEPARTMENT OF COMMERCE Patent and Trademark Office COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

| SERIAL NUMBER | FILING DATE | FIRST NAMED APPLICANT | | A | TTORNEY DOCKET NO. | |
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| 09/709,774 | 11/08/00 | Sette et al | | | 18623006240 | |
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| | | | | Am | Amy DeCloux | |
| | | | | ART UNIT | PAPER NUMBER | |
| | | | | 1644 | | |
| | | | J | DATE MAILED: | | |

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

This application fails to comply with the requirements of 37 C.F.R. 1.821-1.825 for the the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Specifically, on Tables I-III, page 5, and calim 95 (which has been renumbered as claim 35, see below), and claim 120, (which has been renumbered as claim 61, see below), amino acid sequences or nucleic acid sequences are disclosed which have no SEQ ID NO: tag.

Applicants are required to submit a disk and paper copy of the sequences according to the attached "Notice to Comply with the Sequence Rules." Applicant is reminded of the sequence rules which require a submission for all sequences of more than 9 nucleotides or 3 amino acids (see 37 C.F.R. 1.821-1.825) and is also requested to carefully review the submitted specification for any and all sequences which require compliance with the rules.

It is noted that the instant application is a continuation in part of an application which is in sequence compliance. The applicants are required to either submit a new CRF and Sequence Listing, or a letter authorizing the use of the sequence listing filed with the prior application, along with a statement that the sequences in the two cases are identical. The latter option is to be used only if said sequence listing contains SEQ ID NO:s for the disclosed and recited sequences which have no SEQ ID NO: tags. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

Specifically, 37 C.F.R. 1.821 (e) states that a copy of the "Sequence Listing" referred to in paragraph © of this section must also be submitted in computer readable form in accordance with the requirements of § 1.824. The computer readable form is a copy of the "Sequence Listing" and will not necessarily be retained as part of the patent application file. If the computer readable form of a new application is to be identical with the computer readable form of another application of the applicant on file in the Office, reference may be made to the other application and computer readable form in lieu of filing a duplicate computer readable form in the new application. The new application shall be accompanied by a letter making such reference to the other application and computer readable form, both of which shall be completely identified.

37 C.F.R. 1.821(f) states that in addition to the paper copy required by paragraph © of this section and the computer readable form required by paragraph (e) of this section, a statement that the content of the paper and computer readable copies are the same must be submitted with the computer readable form. Such a statement must be a verified statement if made by a person not registered to practice before the Office.

Applicant is given TIME PERIOD of ONE EXTENDABLE MONTH, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

A reply to a notice to comply with the sequence rules should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office.

Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

- 1. Electronically submitted through EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. Mailed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202
- 3. Mailed by Federal Express, United Parcel Service or other delivery service to:
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 Customer Window, Box Sequence
 Crystal Plaza Two, Lobby, Room 1B03
 Arlington, Virginia 22202
- 4. Hand Carried directly to the Customer Window at: 2011 South Clark Place
 Crystal Plaza Two, Lobby, Room 1B03, Box Sequence,
 Arlington, Virginia 22202

It is noted that in applicant's preliminary amendment filed 11/08/00, applicants requested that claims 1-77 be canceled, and that claims 78-125 be added. However, the instant application only contained claims 1-17. So newly added claims 78-125 have been renumbered as claims 18-66, in accordance with Rule 1.126.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Amy DeCloux whose telephone number is (703) 306-5821. The examiner can normally be reached Monday through Friday from 9:00 am to 6:00 pm. Or a message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (703) 308-3973. Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center 1600 receptionist whose telephone number is (703) 308-0196.

Amy DeCloux, Ph.D.
Patent Examiner
Group 1640
Technology Center 1600
March 19, 2002

any De Cloud 3-19-02

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

| | This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990. |
|-----|---|
| | 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c). |
| | 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). |
| | 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." |
| | 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). |
| | 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e). |
| X | 7. Other: Sequences with no SEQ ID tags on at least TABLES I-III, and claims 95 and 120 numbered as originally filed. Please check entire disclosure for other untagged sequences. |
| App | plicant Must Provide: |
| X | An initial or <u>substitute</u> computer readable form (CRF) copy of the "Sequence Listing". |
| X | An initial or <u>substitute</u> paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification. |
| X | A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d). |
| For | questions regarding compliance to these requirements, please contact: |
| For | Rules Interpretation, call (703) 308-4216 CRF Submission Help, call (703) 308-4212 tentIn Software Program Support (SIRA) Technical Assistance |
| | To Purchase Patentin Software 703-306-2600 |

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